



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
WEBSEN.013C1APPLICATION NO.
10/616,420INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Hegli, et al.FILING DATE
July 8, 2003GROUP
2143

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
/DB/	1	4,423,414	12/27/83	Bryant et al.			
/DB/	2	4,941,084	07/10/90	Terada et al.			
/DB/	3	5,493,692	2/20/96	Theimer, et al.			
/DB/	4	5,548,729	08/20/96	Akiyoshi et al.			
/DB/	5	5,555,376	9/10/96	Theimer, et al.			
/DB/	6	5,682,325	10/28/97	Lightfoot, et al.			
/DB/	7	5,696,486	12/9/97	Poliquin, et al.			
/DB/	8	5,696,898	12/9/97	Baker, et al.			
/DB/	9	5,774,668	6/30/98	Choquier, et al.			
/DB/	10	5,787,427	7/28/98	Benantar, et al.			
/DB/	11	5,832,228	11/3/98	Holden, et al.			
/DB/	12	5,832,503	11/3/98	Malik, et al.			
/DB/	13	5,848,233	12/08/98	Radia et al.			
/DB/	14	5,850,523	12/15/98	Gretta, Jr.			
/DB/	15	5,892,905	4/6/99	Brandt, et al.			
/DB/	16	5,896,502	4/20/99	Shieh, et al.			
/DB/	17	5,941,947	8/24/99	Brown, et al.			
/DB/	18	5,958,015	9/28/99	Dascalu			
/DB/	19	5,991,807	11/23/99	Schmidt, et al.			
/DB/	20	6,052,723	4/18/00	Ginn			
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/DB/	22	6,182,118 B1	1/30/01	Finney, et al.			
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/DB/	24	6,456,306 B1	9/24/02	Chin, et al.			

EXAMINER

Douglas Blair

DATE CONSIDERED

2/27/2008

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Multiple sheets used when necessary)</i>	Application No.	10/616,420	
	Filing Date	July 8, 2003	
	First Named Inventor	Ronald Hegli	
	Art Unit	2143	
(Multiple sheets used when necessary)		Examiner	David A. Wiley
SHEET 1 OF 1		Attorney Docket No.	WEBSSEN.013C1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
/DB/	1	Shigechika AIKAWA, Q & A COLLECTION, DOS/V POWER REPORT, Japan, IMPRESS Inc., May 1, 1998, Vol. 8 No. 5, p. 358-361	

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Examiner Signature	/Douglas Blair/	Date Considered	02/27/2008
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/DB/	1	US 2001/0032258 A1	10/2001	Ishida, et al.	
/DB/	2	US 2002/0152284 A1	10/2002	Cambray, et al.	
/DB/	3	US 2004/0078591 A1	04/22/04	Teixeira, et al.	
/DB/	4	US 6,978,292 B1	12/2005	Murakami, et al.	

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/DB/	1	5,581,703	12/1996	Baugher, et al.	
/DB/	2	5,899,995	05/1999	Millier, et al.	
/DB/	3	6,085,241	04/2000	Otis	
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/DB/	1	5,983,279	11/09/1999	Abraham et al.	
/DB/	2	6,741,997	05-2004	Liu et al.	
/DB/	3	6,832,230	12-2004	Zilliacus et al.	
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/DB/	8	2003/0023860	01-2003	Eatough et al.	
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/DB/	10	2003/0126139	07-2003	Lee et al.	
/DB/	11	2004/0068479	04-2004	Wolfson et al.	
/DB/	12	2004/0111499	06-2004	Dobrowski et al.	
/DB/	13	2004/0220924	11-2004	Wootton	
/DB/	14	2005/0155012	07-2005	Tayama et al.	

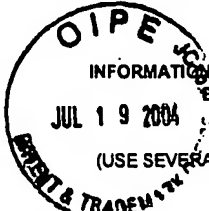
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/DB/	15	WO 01/63835	08/30/2001	Clicksafe.com		
/DB/	16	WO 01/33371	05/10/2001	Surfmonkey.com		
/DB/	17	WO 01/55905	08/02/2001	Websense, Inc.		

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/DB/	18	European Search Report for Application No. 02258462.7, mailed January 30, 2006.	

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	APPLICANT Hegli, et al.	
	FILING DATE July 8, 2003	GROUP 2143

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	EP 0 748 095	12/11/96	EPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
/DB/	2	Supplementary European Search Report for EPO App. No. 00 90 7078, mailed May 18, 2004
/DB/	3	Roberts-Witt, S., The 1999 Utility Guide: Corporate Filtering, PC Magazine Online, 04/05/99, pp 1-11
/DB/	4	Sequel Technology, Inc., Sequel and Surfwatch Partner to Provide Innovative Internet Resource Management Tools for Large Enterprises, Internet, Online!, 2/25/99, pp. 1-3
/DB/	5	SurfWatch Software, SurfWatch® Professional Edition: Product Overview, Internet, Online!, 5/26/99, p. 1
/DB/	6	Newman, H., A Look at Some Popular Filtering Systems, Internet, Online!, 07/25/99, pp. 1-11
/DB/	7	Secure Computing Corporation, SmartFilter™ Web Tool, 12/1/98, pp. 1-2

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							YES	NO
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/DB/	26	WO 92/19054	10/29/92	PCT				
/DB/	27	WO 96/42041	12/27/96	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
/DB/	28	Sandhu, et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994
/DB/	29	C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996
/DB/	30	SurfControl plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002
/DB/	31	Yiaellis, et al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Enabling Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996

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	APPLICANT Hegli, et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
/DB/	1	6,226,664	7/24/01	Russell-Falla, et al.			
/DB/	2	6,418,433	7/9/02	Chakrabarti, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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APPLICANT
Hegli, et al.FILING DATE
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Unknown

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
/DB/	1	5,799,002	08/25/98	Krishnan			
/DB/	2	6,055,564	04/25/00	Phaal			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/DB/	3	WO 01/55873	08/02/01	PCT				

EXAMINER
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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DATE CONSIDERED

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/DB/	1.	5,541,911	07/30/96	Nilakantan, et al.			
/DB/	2.	5,606,668	02/25/97	Shwed			
/DB/	3.	5,678,041	10/14/97	Baker, et al.			
/DB/	4.	5,706,507	01/06/98	Schloss			
/DB/	5.	5,706,507	01/06/98	Schloss			
/DB/	6.	5,787,253	07/28/98	McCreery, et al.			
/DB/	7.	5,832,212	11/03/98	Cragun, et al.			
/DB/	8.	5,835,722	11/10/98	Bradshaw, et al.			
/DB/	9.	5,848,412	12/08/98	Rowland, et al.			
/DB/	10.	5,855,020	12/29/98	Kirsch			
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/DB/	23.	6,154,741	11/2000	Feldman, Daniel J.			
/DB/	24.	6,233,618	05/2001	Shannon, Steven			
/DB/	25.	6,295,559	09/2001	Emens et al.			
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